

# iShares Listed Private Equity UCITS ETF USD (Dist)

## June Factsheet

Performance, Portfolio Breakdowns, Net Assets, and Distribution Yield information as at: 30-Jun-2020

All other data as at 11-Jul-2020

This is a marketing information not constituting investment or other advice and is used purely for information purposes. For Investors in Germany. Investors should read the Key Investor Information Document and Prospectus prior to investing.

The Fund seeks to track the performance of an index composed of publicly-listed companies active in the private equity space

### KEY BENEFITS

- 1 Exposure to publicly listed private equity companies from North America, Europe and Asia Pacific which are trading on developed market exchanges
- 2 Investments in Master Limited Partnerships (MLP) are capped at 20% and will be achieved through Contract For Differences (CFDs)
- 3 Private Equity sector exposure

**Key Risks:** Investment risk is concentrated in specific sectors, countries, currencies or companies. (Continued on page 2)

### GROWTH OF 10,000 USD SINCE INCEPTION



### COMPLETE TWELVE MONTH PERFORMANCE PERIODS (USD)

	30/6/2015 - 30/6/2016	30/6/2016 - 30/6/2017	30/6/2017 - 30/6/2018	30/6/2018 - 30/6/2019	30/6/2019 - 30/6/2020	2019 Calendar Year
<b>Fund</b>	-7.69%	32.25%	5.64%	10.79%	-4.87%	44.73%
<b>Benchmark</b>	-8.11%	32.05%	5.88%	10.76%	-5.61%	44.57%

### ANNUALISED PERFORMANCE (% USD)

	1 Year	3 Years	5 Years	10 Years	Since Inception
<b>Fund</b>	-4.87%	3.64%	6.33%	10.31%	2.15%
<b>Benchmark</b>	-5.61%	3.44%	6.08%	10.23%	1.52%

The figures shown relate to past performance. Past performance is not a reliable indicator of future results and should not be the sole factor of consideration when selecting a product or strategy. Share Class and Benchmark performance displayed in USD, hedged fund benchmark performance is displayed in USD. Performance is shown on a Net Asset Value (NAV) basis, with gross income reinvested where applicable. Performance data is based on the net asset value (NAV) of the ETF which may not be the same as the market price of the ETF. Individual shareholders may realize returns that are different to the NAV performance. The return of your investment may increase or decrease as a result of currency fluctuations if your investment is made in a currency other than that used in the past performance calculation. **Source:** BlackRock

**Capital at risk.** All financial investments involve an element of risk. Therefore, the value of your investment and the income from it will vary and your initial investment amount cannot be guaranteed.

### KEY FACTS

Asset Class	Equity
Fund Base Currency	USD
Share Class Currency	USD
Fund Launch Date	16-Mar-2007
Share Class Launch Date	16-Mar-2007
Benchmark	S&P Listed Private Equity Index
ISIN	IE00B1TXHL60
Total Expense Ratio	0.75%
Distribution Frequency	Semi-Annual
Domicile	Ireland
Methodology	Replicated
Product Structure	Physical
Rebalance Frequency	Semi-Annual
UCITS	Yes
Use of Income	Distributing

Net Assets of Fund	USD 460,436,917
Net Assets of Share Class	USD 460,436,917
Number of Holdings	62
Shares Outstanding	23,530,000
Benchmark Ticker	SPLPEQNT
Distribution Yield	-

### TOP HOLDINGS (%)

BLACKSTONE GROUP INC CLASS A	8.53
PARTNERS GROUP HOLDING AG	8.33
BROOKFIELD ASSET MANAGEMENT INC CL	6.80
KKR AND CO INC	5.44
APOLLO GLOBAL MANAGEMENT INC CLASS	5.42
3I GROUP PLC	4.91
CANNAE HOLDINGS INC	3.92
ARES CAPITAL CORP	3.74
ONEX CORP	3.67
CARLYLE GROUP INC	3.57
	54.33

Holdings are subject to change. Certain fund exposures may be achieved by investing in contracts for difference (CFDs). For more information, visit the fund's product page on iShares.com.

**Key Risks Continued:** This means the Fund is more sensitive to any localised economic, market, political or regulatory events. Derivatives are highly sensitive to changes in the value of the asset on which they are based and can increase the size of losses and gains, resulting in greater fluctuations in the value of the Fund. The impact to the Fund can be greater where derivatives are used in an extensive or complex way. Private equity securities can be affected by daily stock market movements, political and economic news, company earnings and significant corporate events. Private equity companies may involve additional risks including higher levels of borrowing, unclear distribution of risk and losses within the private equity structure and constraints on buying and selling underlying investments quickly. Counterparty Risk: The insolvency of any institutions providing services such as safekeeping of assets or acting as counterparty to derivatives or other instruments, may expose the Fund to financial loss.

## SECTOR BREAKDOWN (%)

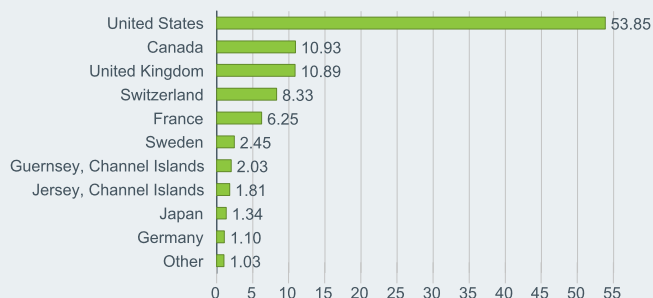
	Fund
Financials	99.48
Cash and/or Derivatives	0.52

## DEALING INFORMATION

<b>Exchange</b>	Deutsche Boerse Xetra	London Stock Exchange
<b>Ticker</b>	IQQL	IPRV
<b>Bloomberg Ticker</b>	IQQL GY	IPRV LN
<b>RIC</b>	IQQL.DE	IPRV.L
<b>SEDOL</b>	B568VZ4	B1TXHL6
<b>WKN</b>	A0MMON	-
<b>Trading Currency</b>	EUR	GBP

This product is also listed on: Euronext Amsterdam, SIX Swiss Exchange

## GEOGRAPHIC BREAKDOWN (%)



Geographic exposure relates principally to the domicile of the issuers of the securities held in the product, added together and then expressed as a percentage of the product's total holdings. However, in some instances it can reflect the location where the issuer of the securities carries out much of their business.

Want to learn more?  089 42729-5858

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 [www.ishares.de](http://www.ishares.de)

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## GLOSSARY

**Total Expense Ratio (TER):** A measure of the total costs associated with managing and operating a fund. The TER consists primarily of the management fee plus other expenses such as trustee, custody, or operating expenses. It is expressed as a percentage of the fund's total net asset value.

**Distribution yield:** The distribution yield represents the ratio of distributed income over the last 12 months to the fund's current Net Asset Value.

**Product Structure:** Indicates whether the fund buys the actual underlying securities in the index (i.e. Physical) or whether the fund gains exposure to those securities by buying derivatives, such as swaps (known as 'Synthetic'). Swaps are a form of contract that promises to provide the return of the security to the fund, but the fund does not hold the actual security. This can introduce a risk that the counterparty defaults on the "promise" or contract.

**Methodology:** Indicates whether the product is holding all index securities in the same weight as the index (replicating) or whether an optimised subset of index securities is used (optimised/sampled) in order to efficiently track index performance.

**Contracts for difference (CFDs):** A contract for difference is a derivative instrument that offers exposure to price changes in an underlying security without ownership of such security.

## SUSTAINABILITY CHARACTERISTICS

Sustainability Characteristics can help investors integrate non-financial, material sustainability considerations into their investment process. These metrics enable investors to evaluate funds based on their environmental, social, and governance (ESG) risks and opportunities. This analysis can provide insight into the effective management and long-term financial prospects of a fund.

The metrics below have been provided for transparency and informational purposes only. The existence of an ESG rating is not indicative of how or whether ESG factors will be integrated into a fund. The metrics are based on MSCI Fund Ratings and, unless otherwise stated in Fund documentation and included within a Fund's investment objective, ESG integration does not change a Fund's investment objective or constrain the Investment Manager's investable universe, and there is no indication that an ESG or Impact focused investment strategy or exclusionary screens will be adopted by a Fund. For more information regarding a fund's investment strategy, please see the fund's prospectus.

<b>MSCI ESG Fund Rating (AAA-CCC)</b>	BBB	<b>MSCI ESG Quality Score (0-10)</b>	5.34
<b>MSCI ESG Quality Score - Peer Percentile</b>	-	<b>MSCI ESG % Coverage</b>	65.90%
<b>Fund Lipper Global Classification</b>	-	<b>MSCI Weighted Average Carbon Intensity (Tons CO2E/\$M SALES)</b>	21.62
<b>Funds in Peer Group</b>	-		

All data is from MSCI ESG Fund Ratings as of **01-Jun-2020**, based on holdings as of **30-Apr-2020**. As such, the funds sustainable characteristics may differ from MSCI ESG ratings from time to time.

To be included in MSCI ESG Fund Ratings, 65% of the fund's underlying holdings (excluding cash positions) must be covered by MSCI ESG Research, the fund's holdings date must be less than one year old, and the fund must have at least ten securities. For newly launched funds, sustainability characteristics are typically available 6 months after launch.

### ESG GLOSSARY

**MSCI ESG Fund Rating (AAA-CCC):** The MSCI ESG Rating is calculated as a direct mapping of ESG Quality Scores to letter rating categories (e.g. AAA = 8.6-10). The ESG Ratings range from leader (AAA, AA), average (A, BBB, BB) to laggard (B, CCC).

**MSCI ESG Quality Score - Peer Percentile:** The fund's ESG Percentile compared to its Lipper peer group.

**Fund Lipper Global Classification:** The fund peer group as defined by the Lipper Global Classification.

**Funds in Peer Group:** The number of funds from the relevant Lipper Global Classification peer group that are also in ESG coverage.

**MSCI ESG Quality Score (0-10):** The MSCI ESG Quality Score (0 - 10) for funds is calculated using the weighted average of the ESG scores of fund holdings. The Score also considers ESG Rating trend of holdings and the fund exposure to holdings in the laggard category. MSCI rates underlying holdings according to their exposure to 37 industry specific ESG risks and their ability to manage those risks relative to peers.

**MSCI ESG % Coverage:** Percentage of a fund's holdings that have MSCI ESG ratings data.

**MSCI Weighted Average Carbon Intensity (Tons CO2E/\$M SALES):** Measures a fund's exposure to carbon intensive companies. This figure represents the estimated greenhouse gas emissions per \$1 million in sales across the fund's holdings. This allows for comparisons between funds of different sizes.

### IMPORTANT INFORMATION:

The above Sustainability Characteristics metrics are not to be taken as an exhaustive list of the controversial areas of interest and are part of an extensive set of MSCI ESG metrics. There may be corporate actions or other situations that may cause the Fund or Index to passively hold securities that may not comply with MSCI ESG criteria. Please refer to the Fund's prospectus for more information. The screening applied by MSCI may include revenue thresholds set by MSCI. The information displayed above may not include all of the screens that apply to the relevant index or the relevant Fund. These screens are described in more detail in the Fund's prospectus, KIID and the relevant index methodology.

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